



#### SPECIFIC PROCUREMENT NOTICE REQUEST FOR BIDS- WORKS

Employer:Pakhtunkhwa Energy Development Organization (PEDO) through PMO KHREProject:Khyber Pakhtunkhwa Hydropower Renewable Energy Development (KHRE)Contract title:Gabral Kalam Hydropower Project, Package 1 - CIVIL WORKS – Water Conveyance Structure (weir, diversion structure,<br/>tunnel etc.), Access Roads and Bridges – (Re-bidding) - RFB No: PK-PEDO-344261-CW-RFBCountry:Islamic Republic of Pakistan, Loan No. /Credit No: IBRD-91640; IDA 67790

- The Islamic Republic of Pakistan has received financing from the World Bank toward the cost of the Khyber Pakhtunkhwa Hydropower Renewable Energy Development (KHRE), and intends to apply part of the proceeds toward payments under the contract for Gabral Kalam Hydropower Project, Package 1 - CIVIL WORKS – Water Conveyance Structure (weir, diversion structure, tunnel etc), Access Roads and Bridges – (Re-bidding), located in District Swat of Khyber Pakhtunkhwa Province (KP).
- 2. The PEDO through PMO KHRE now invites sealed Bids from eligible Bidders for the Works, including, but not limited to the below:

(i) Construction of Civil Works: Diversion weir/Concrete dam, River diversion works during construction, connecting channel, Sandtrap, Inlet Pond, Headrace tunnel, Access tunnels, Surge shaft, Pressure shaft, Permanent and Temporary bridges, Temporary and Permanent access roads, Power House, Control & GIS Buildings, Tail Race, Protection and Slope Stabilization works, Allied works including landscaping etc. (ii) Design, Supply, Installation and Testing of Hydraulic Steel Structure (HSS) Works: Gates, Stop logs, Trash racks, Cranes, Steel liner, Manifold etc. (Quantities, location of project and other necessary information is described under Section VII"Works' Requirements" of the Bidding Documents. Construction Duration shall be 4 years. A margin of preference shall not be applicable.)

- 3. Bidding will be conducted through international competitive procurement using Request for Bids (RFB) as specified in the World Bank's "Procurement Regulations for IPF Borrowers.
- 4. Interested eligible Bidders may obtain further information from Office of Director Project-GKHPP and inspect the bidding document during office hours at the address given below.
- 5. The bidding document in English may be purchased by interested eligible Bidders upon the submission of a written application to the address below and upon payment of a nonrefundable fee of Pakistani Rupee 150,000/- or equivalent USD. The method of payment will be through cashier's check, pay order or bank draft drawn on a scheduled bank of Pakistan in favour of the "Head PMO, Construction of 88 MW Gabral". The document will be sent by airmail or courier as appropriate or it may be picked up from the Employer's address given below.
- 6. Bids must be delivered to the address below on or before 1400 Hrs, August 07, 2023. Electronic bidding will not be permitted. Late Bids will be rejected. Bids will be publicly opened in the presence of the Bidders' designated representatives and anyone who chooses to attend at the address below at 1400 Hrs on August 07, 2023.
- All Bids must be accompanied by a Bid Security of USD 2,000,000 or its equivalent in another freely convertible currency calculated on the base of the selling exchange rate of the State Bank of Pakistan on the date of 28 days before the latest date of the bid submission in the form of Bank Guarantee or Insurance Bond.

<u>(Note: The Evaluation & Qualification Criteria is attached as Annex to this SPN for info of the potential Bidders and can be viewed online on PEDO website(www.pedo.pk).</u>

#### OFFICE OF THE CHIEF ENGINEER / HEAD PMO, KHRE PROJECT

Director Project (88 MW-GABRAL KALAM Hydropower Project (GKHPP) Ground Floor, NESPAK Building (PMO KHRE, PEDO), Plot # 24, Sect B/2, Phase-5 Hayatabad, Peshawar Khyber Pakhtunkhwa Province, Islamic Republic of Pakistan

ZiP Code: 25000, Telephone No. +92 91 9219616-7, Email: proc.wbprojects@pedo.pk

# **Annex to SPN**

# **Section II - Bid Data Sheet (BDS)**

The following specific data for the Works to be procured shall complement, supplement, or amend the provisions in the Instructions to Bidders (ITB). Whenever there is a conflict, the provisions herein shall prevail over those in ITB.

|         | A. General   |
|---------|--|
| ITB 1.1 | The reference number of the Request for Bids (RFB) is: <i>PK-PEDO-344261-CW-RFB</i>  |
|         | The Employer is: Pakhtunkhwa Energy Development Organization (PEDO) through PMO KHRE, Government of KPK  |
|         | The name of the RFB is: Gabral Kalam Hydropower Project, Package 1 -<br>CIVIL WORKS – Water Conveyance Structure (weir, diversion structure,<br>tunnel etc.), Access Roads and Bridges – (Rebidding)   |
|         | The number and identification of lots (contracts) comprising this RFB is:  |
|         | One (1)  |
|         | The Project comprises the following main similar contracts for civil works:  |
|         | Contract I: Gabral Kalam Hydropower Project, Package 1 - CIVIL<br>WORKS – Water Conveyance Structure (weir, diversion structure,<br>tunnel etc.), Access Roads and Bridges - (Rebidding), RFB No: PK-<br>PEDO-344261-CW-RFB;   |
|         | , and  |
|         | Contract II: MADYAN HYDROPOWER PROJECT- CIVIL WORKS  |
|         | All Civil Structures, including Weir, Intake, Headrace Tunnel, Tail Race,<br>Powerhouse Cavern with related Structures, Colony, Roads, Bridges,<br>Water Treatment Plant and Hydraulic Steel Structures (Ref. No. PK-<br>PEDO-339715-CW-RFB)   |
|         | The current bidding is <b>for Contract I only</b> . The bidding and implementation<br>for Contract I will be done simultaneously with the prequalification, bidding<br>and implementation of <b>Contract II</b> . Bidders participating in both bidding<br>processes and who want to be awarded both contracts, should meet the<br><b>Financial Qualification Requirements (Average annual turnover and<br/>financial resources)</b> on an aggregate basis for both contracts. In addition, the<br>winning bidder for both contracts must also demonstrate availability of<br>resources such as key personnel and equipment required under the RFBs. |

| ITB 7.4 | A Pre-Bid meeting <i>shall</i> take place at the following date, time and place:   |
|---------|--|
|         | Date: July 10, 2023  |
|         | Time: 1400 Hrs   |
|         | Place: Committee Room of PMO Office, Peshawar (at the address given under ITB 7.1 above)                                     |
|         | A site visit conducted by the Employer <i>shall be</i> organized on <i>June 22, 2023</i> at <i>1000</i> Hrs at Project Site. |

# Section III - Evaluation and Qualification Criteria

This section contains all the criteria that the Employer shall use to evaluate Bids and qualify Bidders. No other factors, methods or criteria shall be used other than specified in this Bidding document. The Bidder shall provide all the information requested in the forms included in Section IV, Bidding Forms.

Wherever a Bidder is required to state a monetary amount, Bidders should indicate the USD equivalent using the rate of exchange determined as follows:

- For construction turnover or financial data required for each year Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted) was originally established.
- Value of single contract Exchange rate prevailing on the date of the contract.

Exchange rates shall be taken from the publicly available source identified in the ITB 32.1. Any error in determining the exchange rates in the Bid may be corrected by the Employer.

# A. Technical and Financial Evaluation

# 1. Evaluation of Technical Proposal

Assessment of adequacy of Technical Proposal with Requirements in accordance with ITB 35.1.

**ITB 35.2 applies**, the technical factors, and sub factors, to be evaluated and the scores to be given to each technical factor and sub factors are specified in the **BDS ITB 35.2 & hereafter.** 

### 1.1 Technical Proposal Scoring Methology

The Scoring methodology for technical factors (sub-factors) as mentioend in BDS ITB 35.2 shall be as under;

٦

#### **Technical Factors (Sub-Factors):**

| Sr. No. | Sub-Factors                             | Max<br>Score | Min Requirements<br>(Qualification, Total<br>& Similar Work<br>Experience)        | Pualification & Experience<br>Scoring Criteria   |
|---------|---|--------------|---|--|
| i.      | Project<br>Management<br>Team           | 20           | follows:<br>Following sub criteria  | nodology for this sub-factor shall be as<br>will be used in assigning points subject<br>ms submitted as per requirements<br>– Bidding Forms. |
| a.      | Project<br>Manager                      | 5            | Minimum<br>requirements as<br>mentioned in Section<br>III -Evaluation<br>Criteria | 12 years of relevant experience – 02<br>15 years or above of relevant<br>experience – 04<br>Additional Higher Qualification –<br>01          |
| b.      | Construction<br>Manager<br>(Civil)      | 3            | Minimum<br>requirements as<br>mentioned in Section<br>III -Evaluation<br>Criteria | 10 years of relevant experience – 01<br>12 years or above of relevant<br>experience – 02<br>Additional Higher Qualification –<br>01          |
| c.      | Construction<br>Manager<br>(Electrical) | 3            | Minimum<br>requirements as<br>mentioned in Section<br>III -Evaluation<br>Criteria | 10 years of relevant experience – 01<br>12 years or above of relevant<br>experience – 02<br>Additional Higher Qualification –<br>01          |

|              | <i>a</i> .       | -         |   |   |                                   | 0.1        |  |  |
|--------------|------------------|-----------|---|---|-----------------------------------|------------|--|--|
| d.           | Construction     | 3         | Minin   |   | 10 years of relevant experier     |            |  |  |
|              | Manager          |           | requirem  |   | 12 years or above of rele         | vant       |  |  |
|              | (Mechanical)     |           | mentioned i   |   | experience – 02                   |            |  |  |
|              |                  |           | III -Evaluation<br>Criteria   |   |                                   |            |  |  |
|              |                  |           |   |   | Additional Higher Qualifica<br>01 |            |  |  |
| e.           | Tunneling        | 3         | Minin   |   | 10 years of relevant experier     |            |  |  |
|              | Engineer         |           | requirem  |   | 12 years or above of rele         | vant       |  |  |
|              |                  |           | mentioned i   |   | experience – 02                   |            |  |  |
|              |                  |           | III -Eval   |   |                                   | <i>.</i> . |  |  |
|              |                  |           | Crite   |   | Additional Higher Qualifica<br>01 |            |  |  |
| f.           | Environmental    | 3         | Minin   |   | 10 years of relevant experier     |            |  |  |
|              | Specialist       |           | requirem  |   | 12 years or above of rele         | vant       |  |  |
|              |                  |           | mentioned i   |   | experience $-02$                  |            |  |  |
|              |                  |           | III -Eval   |   |                                   |            |  |  |
|              |                  |           | Crite   | ria   | Additional Higher Qualifica<br>01 | ation –    |  |  |
|              | Obtained         | Score =   | $T_1 = (sum c$  | of obtained                                   | scores by all above Key Per       | sonnel)    |  |  |
|              | 0.5tuilleu       | 50010     |   | <u>, , , , , , , , , , , , , , , , , , , </u> |                                   | 5011101)   |  |  |
| ii.          | Site             | 05        | The Bidde   | er shall su                                   | ubmit a Site Organizatior         | n chart    |  |  |
|              | Organization     |           |   |   | ements as specified in Section    |            |  |  |
|              | 0                |           | 0   | -   | 1                                 |            |  |  |
|              |                  |           | Bidding Forms (Site Organization).<br>Following sub criteria will be used in assigning points |   |                                   |            |  |  |
|              |                  |           | subject to the documents/forms submitted as per   |   |                                   |            |  |  |
|              |                  |           | requirements specified in Section IV – Bidding Forms  |   |                                   |            |  |  |
|              |                  |           | -   | (Site Organization). $V = Blading Form$       |                                   |            |  |  |
|              |                  |           | (Site Organ   | iizatioii).                                   |                                   |            |  |  |
|              |                  |           | Satisfact   | Satisfactory - 70%                            |                                   |            |  |  |
|              |                  |           | Good  | ory   | - 85%                             |            |  |  |
|              |                  |           | Very Go   | od  | - 100%                            |            |  |  |
|              | Obtained         | Score -   | $T_2$   | ou  | - 10078                           |            |  |  |
|              | Obtained         |           | - 2   |   |                                   |            |  |  |
| <b>B</b> . W | ork Program (v   | vith deta | iled loaded   | optimum                                       | Resources)                        |            |  |  |
| Sr. No       | Sub-Facto        |           |   |   | Scoring Criteria                  |            |  |  |
|              |                  |           | Max   |   | _                                 |            |  |  |
|              |                  |           | Score   |   |                                   |            |  |  |
| i.           | Work Program an  | nd        | 15  | The Bidd                                      | ler shall submit a Work P         | rogram     |  |  |
|              | Statement        |           |   | and State                                     | ment addressing the requir        | rements    |  |  |
| ii.          | How lessons lear | nt will   | 5   |   | ed in Section IV – Bidding        |            |  |  |
|              | be implemented   |           |   | (Construe                                     | ction Schedule).                  |            |  |  |
| iii.         | Measures to avoi | d         | 5   | Followin                                      | g sub criteria will be u          | ised in    |  |  |
| delays       |                  |           |   | g points against each sub                     |                                   |            |  |  |
|              |                  |           |   |   | o the documents/forms sub         |            |  |  |
|              |                  |           |   | -   | uirements specified in Sec        |            |  |  |
|              |                  |           |   | -   | g Forms (Construction Sche        |            |  |  |
|              |                  |           |   | Diadilla                                      | - i orms (Construction Selle      | aute).     |  |  |
| ļ I          |                  |           |   |   |                                   |            |  |  |

|              |   |                             | Good<br>Very Good  | - 85%<br>- 100%   |  |
|--------------|---|-----------------------------|--|---|--|
|              | Obtair  | ned Score =                 |  |   |  |
| C. Me        | thod Statement  |                             |  |   |  |
| Sr. No       | Sub-Factors   | Max<br>Score                | Scoring Cr   | iteria  |  |
| i.           | Quality Control and Assurance   | 12                          | The Bidder shall su<br>Statement addressing th<br>specified in Section IV  | -   |  |
| ii.          | Approach and Method<br>Statement for<br>Logistics   | 5                           | (Method Statement).<br>Following sub criteria  | will be used in   |  |
| iii.         | Approach and Method<br>Statement to execute<br>all parts of the Works<br>and Coordination and<br>Integration with other | 8                           | assigning points against each sub-f<br>subject to the documents/forms sub-<br>as per requirements specified in Secti<br>– Bidding Forms (Method Statement)<br>Satisfactory - 70%   |   |  |
| iv.          | Contractors<br>Risks and mitigation<br>Measures   | 5                           | Good<br>Very Good  | - 85%<br>- 100%   |  |
|              |   |                             | sub-factor)  |   |  |
| D. Oc        | whatianal Haalth & Caf  |                             |  |   |  |
|              | <u>cupational nearth &amp; Said</u>   | ety and Lab                 | or Management Plan   |   |  |
| Sr. No       | Sub-Factors   | ety and Lab<br>Max<br>Score | or Management Plan<br>Scoring Cri  | iteria  |  |
|              | Sub-FactorsPollution Prevention,Waste Water andHazardous Waste  | Max                         | Scoring Criterian<br>The Bidder shall sub<br>Health & Safety and L<br>Plan addressing the  | mit Occupational<br>abor Management<br>requirements as  |  |
| Sr. No       | Sub-FactorsPollution Prevention,Waste Water andHazardous WasteManagement PlanTraffic Managementand Emergency            | Max<br>Score                | Scoring Crime<br>The Bidder shall sub<br>Health & Safety and L<br>Plan addressing the<br>specified in Section IV<br>(ES-MSIP).<br>Following sub criteria   | mit Occupational<br>abor Management<br>requirements as<br>– Bidding Forms<br>will be used in  |  |
| Sr. No<br>i. | Sub-FactorsPollution Prevention,<br>Waste Water and<br>Hazardous Waste<br>Management PlanTraffic Management             | Max<br>Score                | Scoring Criteria State S | mit Occupational<br>abor Management<br>requirements as<br>– Bidding Forms<br>will be used in<br>t each sub-factor,<br>ts/forms submitted<br>ified in Section IV |  |

|                               | Very Good                         | - 100%            |
|-------------------------------|-----------------------------------|-------------------|
| <b>Obtained Score =</b>       | T $_5 = (sum of obtained sco$     | ores against each |
|                               | sub-factor)                       |                   |
| Grand Total -Obtained Score = | $T = T_1 + T_2 + T_3 + T_4 + T_5$ | 5                 |

#### **1.2 Sustainable Procurement**

Not Applicable

#### 1.3 Alternative Technical Solutions for specified parts of the Works

Not Applicable

# 2. Financial Evaluation

#### **2.1 Margin of Preference**

Not Applicable

#### 2.2 Criteria for Financial Evaluation

In addition to the criteria listed in ITB 35.3 (a) – (e) the following criteria shall apply:

(i) *Time Schedule* 

Time for completion of the Works from the Commencement Date shall be as specified in the Particular Conditions Part A-Contract Data Sub-clause 1.1.84. No credit will be given for earlier completion.

(ii) *Life Cycle Costs* Not Applicable

# **3.** Combined Evaluation

The Employer will evaluate and compare the Bids that have been determined to be substantially responsive.

An Evaluated Bid Score (B) will be calculated for each responsive Bid using the following formula, which permits a comprehensive assessment of the evaluated cost and the technical merits of each Bid:

$$B \equiv \frac{Clow}{C} * X * 100 + \frac{T}{Thigh} * (1 - X) * 100$$

Where:

C = Evaluated Bid Cost

 $C_{low}$  = the lowest of all Evaluated Bid Costs among responsive Bids

T = the total Technical Score awarded to the Bid Thigh = the Technical Score achieved by the Bid that was scored best among all responsive Bids

X = weight for Cost as **specified in the BDS** (**X** = 90 %)

The Bid with the best evaluated Bid Score (B) among responsive Bids shall be the Most Advantageous Bid provided the Bidder is qualified to perform the Contract.

# **B.** Multiple Contracts

Not Applicable

# **C. Specialized Subcontractors**

If permitted under ITB 34, only the specific experience of Subcontractors for specialized works permitted by the Employer will be considered. The general experience and financial resources of the Specialized Subcontractors shall not be added to those of the Bidder for purposes of qualification of the Bidder.

# **D.** Qualification

| Eligibility and Qualification Criteria |  |   |                          | <b>Compliance Requirements</b> |                          |               |   |
|--|--|---|--------------------------|--------------------------------|--------------------------|---------------|---|
| No.                                    | Subject  | Requirement   | Single                   | Joint Ventu                    | re (existing or          | intended)     | Submission                                      |
|  |  |   | Entity                   | All Members<br>Combined        | Each<br>Member           | One<br>Member | Requirements                                    |
| 1. Eli                                 | igibility  |   |                          |                                |                          |               |   |
| 1.1                                    | Nationality  | Nationality in accordance with ITB 4.4  | Must meet<br>requirement | Must meet<br>requirement       | Must meet<br>requirement | N/A           | Forms ELI – 1.1<br>and 1.2, with<br>attachments |
| 1.2                                    | Conflict of<br>Interest  | No conflicts of interest in accordance with ITB 4.2   | Must meet<br>requirement | Must meet<br>requirement       | Must meet<br>requirement | N/A           | Letter of Bid                                   |
| 1.3                                    | Bank Eligibility   | Not having been declared ineligible by the Bank, as described in ITB 4.5.   | Must meet<br>requirement | Must meet<br>requirement       | Must meet<br>requirement | N/A           | Letter of Bid                                   |
| 1.4                                    | State- owned<br>Enterprise or<br>Institution of<br>the Borrower<br>country | Meets conditions of ITB 4.6   | Must meet<br>requirement | Must meet<br>requirement       | Must meet<br>requirement | N/A           | Forms ELI – 1.1<br>and 1.2, with<br>attachments |
| 1.5                                    | United Nations<br>resolution or<br>Borrower's<br>country law               | Not having been excluded as a result of<br>prohibition in the Borrower's country<br>laws or official regulations against<br>commercial relations with the Bidder's<br>country, or by an act of compliance with<br>UN Security Council resolution, both in<br>accordance with ITB 4.8 and Section V. | Must meet<br>requirement | Must meet<br>requirement       | Must meet<br>requirement | N/A           | Forms ELI – 1.1<br>and 1.2, with<br>attachments |
| 2. His                                 | storical Contract N  | on-Performance  | 1<br>                    |                                | 1<br>                    |               |   |

|     | Eligibility and Qualification Criteria   |  |                          |                           | <b>Compliance Requirements</b>        |               |               |  |
|-----|--|--|--------------------------|---------------------------|---------------------------------------|---------------|---------------|--|
| No. | Subject  | Requirement  | Single                   | Joint Ventu               | re (existing or                       | intended)     | Submission    |  |
|     |  |  | Entity                   | All Members<br>Combined   | Each<br>Member                        | One<br>Member | Requirements  |  |
| 2.1 | History of Non-<br>Performing<br>Contracts   | Non-performance of a contract <sup>1</sup> did not<br>occur as a result of contractor default<br>since 1 <sup>st</sup> January 2018  | Must meet<br>requirement | Must meet<br>requirements | Must meet<br>requirement <sup>2</sup> | N/A           | Form CON-2    |  |
| 2.2 | Suspension<br>Based on<br>Execution of<br>Bid/Proposal<br>Securing<br>Declaration by<br>the Employer | Not under suspension based on-<br>execution of a Bid/Proposal Securing<br>Declaration pursuant to ITB 4.7 and<br>ITB 19.9  | Must meet<br>requirement | Must meet<br>requirement  | Must meet<br>requirement              | N/A           | Letter of Bid |  |
| 2.3 | Pending<br>Litigation  | Bidder's financial position and<br>prospective long-term profitability still<br>sound according to criteria established<br>in 3.1 below and assuming that all<br>pending litigation will be resolved<br>against the Bidder | Must meet<br>requirement | N/A                       | Must meet<br>requirement              | N/A           | Form CON – 2  |  |
| 2.4 | Litigation<br>History  | No consistent history of court/arbitral<br>award decisions against the Bidder <sup>3</sup><br>since 1 <sup>st</sup> January 2018   | Must meet<br>requirement | Must meet<br>requirement  | Must meet<br>requirement              | N/A           | Form CON – 2  |  |
| 2.5 | Declaration:   | Declare any civil work contracts that  | Must make                | N/A                       | Each must                             | N/A           | Form CON-3 ES |  |

<sup>&</sup>lt;sup>1</sup> Nonperformance, as decided by the Employer, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

<sup>&</sup>lt;sup>2</sup> This requirement also applies to contracts executed by the Bidder as JV member.

<sup>&</sup>lt;sup>3</sup> The Bidder shall provide accurate information on the related Bid Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Bidder or any member of a joint venture may result in failure of the Bid.

|        | Eligibility and Qualification Criteria                  |  |  |                          | equirements  |               | Documentatio<br>n                      |
|--------|---|--|--|--------------------------|--|---------------|--|
| No.    | Subject   | Requirement  | Single   |                          | re (existing or  |               | Submission                             |
|        |   |  | Entity   | All Members<br>Combined  | Each<br>Member   | One<br>Member | Requirements                           |
|        | Environmental<br>and Social (ES)<br>past<br>performance | have been suspended or terminated<br>and/or performance security called by<br>an employer for reasons of breach of<br>environmental, or social (including<br>Sexual Exploitation and Abuse)<br>contractual obligations in the past five<br>years. <sup>4</sup> | the<br>declaration.<br>Where there<br>are<br>Specialized<br>Subcontracto<br>r/s, the<br>Specialized<br>Subcontracto<br>r/s must also<br>make the<br>declaration. |                          | make the<br>declaration.<br>Where there<br>are<br>Specialized<br>Subcontract<br>or/s, the<br>Specialized<br>Subcontract<br>or/s must<br>also make<br>the<br>declaration. |               | Performance<br>Declaration             |
| 2.6    | Bank's SEA<br>and/or SH<br>Disqualification             | At the time of Contract Award, not<br>subject to disqualification by the Bank<br>for non-compliance with SEA/ SH<br>obligations.   | Must meet<br>requirement<br>(including<br>each<br>subcontractor<br>proposed by<br>the Bidder)  | N/A                      | Must meet<br>requirement<br>(including<br>each<br>subcontracto<br>r proposed<br>by the<br>Bidder)  | N/A           | Letter of Bid,<br>Form CON-4           |
| 3. Fir | nancial Situation a                                     | nd Performance   | -  | -                        | _  | _             |  |
| 3.1    | Financial<br>Capabilities                               | (i) The Bidder shall demonstrate that it<br>has access to, or has available, liquid<br>assets, unencumbered real assets, lines<br>of credit, and other financial means   | Must meet<br>requirement   | Must meet<br>requirement | N/A  | N/A           | Form FIN – 3.1,<br>with<br>attachments |

<sup>&</sup>lt;sup>4</sup> The Employer may use this information to seek further information or clarifications in carrying out its due diligence.

|     | Eligibility                                   | and Qualification Criteria  |  | Compliance R                    | equirements  |  | Documentatio<br>n |
|-----|---|---|--|---------------------------------|--|--|-------------------|
| No. | Subject                                       | Requirement   | Single   | Joint Ventu                     | re (existing or  | intended)  | Submission        |
|     |   |   | Entity   | All Members<br>Combined         | Each<br>Member   | One<br>Member  | Requirements      |
|     |   | <ul> <li>(independent of any contractual advance payment) sufficient to meet the construction cash flow requirements estimated as USD \$ Twelve (12) million for the subject contract(s) net of the Bidder's other commitments</li> <li>(ii) The Bidders shall also demonstrate, to the satisfaction of the Employer, that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.</li> <li>(iii) The audited balance sheets or, if not required by the laws of the Bidder's country, other financial statements acceptable to the Employer, for the last last Five (5) years shall be submitted and must demonstrate the current soundness of the Bidder's financial position and indicate its prospective long-term profitability.</li> </ul> | Must meet<br>requirement<br>Must meet<br>requirement | Must meet<br>requirement<br>N/A | N/A<br>Must meet<br>requirement  | N/A<br>N/A   |                   |
| 3.2 | Average<br>Annual<br>Construction<br>Turnover | Minimum average annual construction<br>turnover of US\$ <b>Fifty five (55) million</b> ,<br>calculated as total certified payments<br>received for contracts in progress<br>and/or completed within the last 5<br>years, divided by <i>Five (5)</i> years   | Must meet<br>requirement                             | Must meet<br>requirement        | Must meet<br>25 %,<br>[Twenty<br>Five<br>percent] of<br>the<br>requirement | Must meet 40<br>%,<br>[ forty<br>percent] of<br>the<br>requirement | Form FIN – 3.2    |

|              | Eligibility a  | nd Qualification Criteria  |                          | <b>Compliance Requirements</b>        |                          |  |                    |
|--------------|--|--|--------------------------|---------------------------------------|--------------------------|--|--------------------|
| No.          | Subject  | Requirement  | Single                   | Joint Ventu                           | re (existing or          | intended)  | Submission         |
|              |  |  | Entity                   | All Members<br>Combined               | Each<br>Member           | One<br>Member  | Requirements       |
| <b>4.</b> Ex | perience   |  |                          |                                       |                          |  |                    |
| 4.1<br>(a)   | General<br>Construction<br>Experience                              | Experience under construction<br>contracts in the role of prime contractor,<br>JV member, subcontractor, or<br>management contractor for at least the<br>last ten (10) years. starting <i>1<sup>st</sup> January</i><br>2013   | Must meet<br>requirement | N/A                                   | Must meet<br>requirement | N/A  | Form EXP – 4.1     |
| 4.2<br>(a)   | Specific<br>Construction &<br>Contract<br>Management<br>Experience | A minimum number of similar<br>contracts specified below that have<br>been satisfactorily and substantially <sup>5</sup><br>completed as a prime contractor, joint<br>venture member <sup>6</sup> , management<br>contractor or subcontractor between 1st<br>January 2008 and Application<br>submission deadline:<br>(i) At least <b>Two (02) Hydropower (50 MW</b><br>each or higher) contracts of minimum value<br>of <b>US\$ 60 Million</b> each for civil works<br>component, OR | Must meet<br>requirement | Must meet<br>requirement <sup>7</sup> | N/A                      | Must meet<br>the<br>following<br>requirement<br>s for the key<br>activities<br>listed below<br>N/A | Form EXP<br>4.2(a) |

<sup>&</sup>lt;sup>5</sup> Substantial completion shall be based on 80% or more works completed under the contract.

<sup>&</sup>lt;sup>6</sup> For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.

<sup>&</sup>lt;sup>7</sup> In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.

|            | Eligibility | and Qualification Criteria   |   | Compliance Re   |                 | Documentatio<br>n   |                       |
|------------|-------------|--|---|---|-----------------|---|-----------------------|
| No.        | Subject     | Requirement  | Single  | Joint Ventu   | re (existing or | intended)   | Submission            |
|            |             |  | Entity  | All Members<br>Combined   | Each<br>Member  | One<br>Member   | Requirements          |
|            |             | <ul> <li>(ii) At least One Hydropower (100 MW or higher) contract of minimum value of US\$</li> <li>120 Million for civil works component</li> <li>The similarity of the contracts shall be</li> </ul>   |   |   |                 |   |                       |
|            |             | based on Section VII, Scope of Works.  |   |   |                 |   |                       |
| 4.2<br>(b) |             | For the above and any other contracts<br>[substantially completed and under<br>implementation] as prime contractor,<br>joint venture member, or sub-contractor<br>between 1st January 2008 and<br>Application submission deadline, a<br>minimum construction experience in<br>the following key activities<br>successfully completed <sup>8</sup> :<br><b>Civil Works:</b> | Must meet<br>requirements   | Must meet<br>requirements   | N/A             | Must meet the<br>following<br>requirements<br>for key<br>activities<br>listed below<br><i>N/A</i> | Form EXP – 4.2<br>(b) |
|            |             | <ul> <li>i. Open Excavation 40,000 m<sup>3</sup> in a month</li> <li>ii. Shaft/Tunnel Excavation 4,000 m<sup>3</sup> in a month</li> <li>iii. Concrete work 6,500 m<sup>3</sup> in a month</li> <li>iv. Fabrication &amp; Installation of</li> <li>Penstock/Manifold with minimum 4 m</li> <li>dia-</li> <li>v. Constructed at least two number of</li> </ul>              | <ul> <li>'ii.</li> <li>Shaft/Tunnel</li> <li>Excavation'</li> <li>and/or 'iv.</li> <li>Penstock'</li> <li>and/or v.</li> <li>Bridges may</li> <li>be met through</li> <li>a Specialized</li> <li>Subcontractor</li> </ul> | <ul> <li>'ii. Shaft/Tunnel<br/>Excavation'<br/>and/or 'iv.<br/>Penstock' and/or<br/>v. Bridges may<br/>be met through a<br/>Specialized<br/>Subcontractor in</li> </ul> |                 |   |                       |

<sup>&</sup>lt;sup>8</sup> Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period.

| Eligibility and Qualification Criteria |   |   |                             | <b>Compliance Requirements</b>       |  |   | Documentatio<br>n     |
|--|---|---|-----------------------------|--------------------------------------|--|---|-----------------------|
| No.                                    | Subject   | Requirement   | Single                      | Joint Venture (existing or intended) |  |   | Submission            |
|  |   |   | Entity                      | All Members<br>Combined              | Each<br>Member                                       | One<br>Member   | Requirements          |
|  |   | Bridges (with cumulative length of minimum 100 m for both)  | in accordance with ITB 34.3 | accordance with ITB 34.3             |  |   |                       |
| 4.2<br>(c)                             | Specific<br>Experience in<br>managing ES<br>aspects | For the contracts in 4.2 (a) above and/or<br>any other contracts [substantially<br>completed and under implementation]<br>as prime contractor, joint venture<br>member, or Subcontractor between 1st<br>January 2008 and Application<br>submission deadline, experience in<br>managing ES risks and impacts in the<br>following aspects:<br>(i) Environmental and social<br>management<br>(ii) managing labor and working<br>conditions, and Sexual Exploitation and<br>Assault (SEA) risks and impacts,<br>(iii) Protection of the environment,<br>resource efficiency and pollution<br>prevention and management<br>(iv) Security of the site, community<br>health and safety,<br>(v) Biodiversity conservation and<br>sustainable management of living<br>natural resources etc. | Must meet<br>requirements   | Must meet<br>requirement             | Must meet<br>the<br>following<br>requirements<br>N/A | Must meet<br>the<br>following<br>requirement<br>s N/A | Form EXP – 4.2<br>(c) |

#### 5. Contractor's Representative and Key Personnel

The Bidder must demonstrate that it will have a suitably qualified Contractor's Representative and suitably qualified (and in adequate numbers) Key Personnel, as described in the Specification and herein below.

| No. | Position                                   | Minimum Qualification                                     | Total Work<br>Experience<br>(years) | Similar<br>Works<br>Experience<br>(years) |
|-----|--|---|-------------------------------------|---|
| 1.  | Project Manager                            | BSc Civil Engineering with<br>Certified PMP               | 20                                  | 10  |
| 2.  | Deputy Project<br>Manager                  | BSc Civil Engineering                                     | 15                                  | 8   |
| 3.  | Construction Manager<br>(Civil)            | BSc Civil Engineering                                     | 15                                  | 8   |
| 4.  | Construction Manager<br>(Electrical)       | BSc Electrical Engineering                                | 15                                  | 8   |
| 5.  | Construction Manager<br>(Mechanical)       | BSc Mechanical<br>Engineering                             | 15                                  | 8   |
| 6.  | Tunneling Engineer                         | MSc Geotechnical<br>Engineering                           | 15                                  | 8   |
| 7.  | Contract Manager                           | BSc Civil Engineering                                     | 15                                  | 8   |
| 8.  | Design & Technical<br>Manager -Civil Works | MSc Structural Engineering                                | 10                                  | 8   |
| 9.  | Material Engineer                          | BSc Civil Engineering                                     | 10                                  | 8   |
| 10. | Planning Engineer                          | BSc Civil Engineering.                                    | 10                                  | 8   |
| 11. | QA\Qc Manager                              | BSc Civil Engineering                                     | 10                                  | 8   |
| 12. | Environmental<br>Specialist                | BSc Environmental   | 10                                  | 8   |
| 13. | Health and Safety<br>Officer               | Bachelor Degree in<br>Environmental /Civil<br>Engineering | 10                                  | 8   |
| 14. | Social and Gender<br>Specialist            | Master Degree in Social<br>Sciences                       | 8                                   | 4   |

The Bidder shall provide details of the Contractor's Representative and Key Personnel and such other Key Personnel that the Bidder considers appropriate to perform the Contract, together with their academic qualifications and work experience. The Bidder shall complete the relevant Forms in Section IV, Bidding Forms.

# 6. Equipment

The Bidder must demonstrate that it has access to the key equipment listed hereafter:

| Sr#.    | Equipment Type and Characteristics                              | Capacity/Specifications<br>of Equipment | Minimum<br>Number<br>required |
|---------|---|---|-------------------------------|
| 1       | Wheel Loader 6-9 m <sup>3</sup>                                 |   | 4                             |
| 2       | Dumpers   | 20 Ton                                  | 12                            |
| 3       | Excavators with Jack Hammers (Wheel<br>and Crawler) 100 -200 HP |   | 5                             |
| 4       | Batching Plant  | 60 m <sup>3</sup> /hr                   | 2 Units                       |
| 5       | Transit Mixers  | 6 m <sup>3</sup>                        | 10                            |
| 6       | Shotcrete Machine   | 4-30 m <sup>3</sup> /hr                 | 6                             |
| 7       | Static and Mobile Pumps   | 35 -100 m <sup>3</sup> /hr              | 6                             |
| 8       | Movable Formwork (Tunnel Shaped)                                | -                                       | 1 Set                         |
| 9       | Drilling Jumbo / Anchor Rig                                     | -                                       | 4                             |
| 10      | Air Ventilators   | -                                       | 4                             |
| 11      | Crushing Plant  | 250 ton /hr                             | 2                             |
| 12      | Generator Sets  | 100 kVA                                 | 5                             |
| 13      | Cutting and Bending Machine                                     | -                                       | 1                             |
| 14      | Mobile crane such as crawler crane or<br>truck crane 50-100 Ton |   | 2                             |
| 15      | Truck Mounted Crane 20 Ton                                      |   | 1                             |
| Additio | nal Required Equipment  |   |                               |
| 1       | Road paving plant for hot-placed bitumen-bound macadam          | 120 Ton /hr                             | 1                             |
| 2       | Welding Plants 300-500amp                                       |   | 3                             |
| 3       | Water Tanker  |   | 5                             |
| 4       | Trailer and Prime mover   |   | 2                             |
| 5       | Air Compressors   | 300-450 CFM                             | 2                             |
| 6       | Dozers  | 140- 180 HP                             | 3                             |

The Bidder shall provide further details of proposed items of equipment using Form EQU in Section IV, Bidding Forms.